

# Guide to Business Certifications

VTA and Federal, State, and Local Certifications

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**KIEWIT SHEA TRAYLOR JV**  
**CP2 TUNNEL AND TRACK**



# Agenda

- Welcome, Housekeeping + Introductions
- CP2 Tunnel and Trackwork Project Overview
- Certification Types
- General Considerations Before You Apply
- VTA Certification Programs
- Questions
- Breakout Rooms with VTA, CPM Logistics



# BSV – Phase II

- Largest public infrastructure program ever constructed in Santa Clara
- Includes the recently completed 10-mile, two-station Berryessa Extension Project (SVBX) and the Phase II Extension Project (BSVII Program)

## DBE/SBE Participation

- **DBE: 15%**  
Only DBE firms certified by CUCP will count toward DBE participation.
- **SBE: 20%**  
Only SBE firms certified by VTA or California DGS will be counted for SBE participation.
- The 20% SBE goal is inclusive of the DBE goal, for this contract.



For more information: <http://www.vta.org/projects/bart-sv/phase-ii>.

# Certification Frenzy

- DBE's
- ACDBE's
- SBE's
- MWBE's
- MBE's
- WBE's
- LGBTQ's
- DVBE's



# Before You Apply...

- DBE's
- SBE's

- ACDBE's
- MWBE's
- MBE's
- WBE's
- LGBTQ's
- DVBE's

**...CONSIDER**



**THIS**

- Where are you located?
- Where do you want to work?
- What certifications do local organizations offer?
- Do those organizations fund projects with your company's scope/trade?
- How much does the certification cost?
- How often do you need to renew it?

# Business Diversity Programs



What types of Business Certifications does VTA accept?

## Federally Funded Contracts

Disadvantaged Business Enterprise (DBE)  
Certification

### Certification by

1. VTA
2. Any other certifying agency, as part of the California Unified Certification Program (CUCP)

## State/Locally Funded Contracts

Small Business Enterprise (SBE)  
Certification

### Certification by

1. VTA
2. Department of General Services (DGS) – SB micro only
3. DBE Certification may be accepted

# The “Why” to Certify!

## Why get certified?

1. VTA has goals to meet
  - a) BART Silicon Valley Phase II (BSVII)
    - i. Owner Controlled Insurance Program (OCIP)
2. Net 15 Policy
3. Set-aside program for small businesses on \$150k or smaller purchases

# Small Business Certifications

## What Certification(s) should I pursue?

### SBE Certification

- US Citizen (or lawfully admitted permanent residents)
- Must meet Small Business size standard prescribed by 13 CFR 121, cap of \$30.4M
- Real and Independent
- Any race, ethnicity, or gender

### DBE Certification

- US Citizen (or lawfully admitted permanent residents)
- Small Business size standard prescribed by 121 CFR 13, \$30.4M cap
- PNW below Adjusted \$1.32M
- Ownership/Control
- Member of minority groups: who are Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Subcontinent Asian Americans, women, or other minorities found to be disadvantaged by the SBA
- Independent





# Get Certified!

# Small Business Certifications

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How/where do I go to get certified?

VTA's certifications are online applications. You can find the links to our certification modules at:

[VTA.SBDBE.com](https://VTA.SBDBE.com)

# Questions?



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# How'd We Do?

This 5-min. survey helps to assess how well we delivered on the goals of this workshop. Your answers will guide the development of future workshops, helping in our effort to make them relevant and meaningful.



<https://www.surveymonkey.com/r/KSTJV-Bus-Certs-B>